

# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCI United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

PPLICATION NO.	F	ILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/890,581	03/08/2002		Ian Trevor Dampney	000026.00030	6516
2779	7590	05/11/2005		EXAM	INER
BLANK ROME LLP				NGUYEN, VI X	
THE WATERGATE BUILDING 600 NEW HAMPSHIRE AVENUE, NW WASHINGTON, DC 20037				ART UNIT	PAPER NUMBER
				3731	<u> </u>

DATE MAILED: 05/11/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

		5A
<	Application No.	Applicant(s)
Office Action Summany	09/890,581	DAMPNEY ET AL.
Office Action Summary	Examiner	Art Unit
	Victor X Nguyen	3731
The MAILING DATE of this communication app Period for Reply	ears on the cover sheet w	vith the correspondence address
A SHORTENED STATUTORY PERIOD FOR REPLY THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.11 after SIX (6) MONTHS from the mailing date of this communication.  - If the period for reply specified above is less than thirty (30) days, a reply - If NO period for reply is specified above, the maximum statutory period versions - Failure to reply within the set or extended period for reply will, by statute Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	36(a). In no event, however, may a y within the statutory minimum of thi will apply and will expire SIX (6) MO a, cause the application to become A	reply be timely filed  rty (30) days will be considered timely.  NTHS from the mailing date of this communication.  BANDONED (35 U.S.C. § 133).
Status		•
1) ☐ Responsive to communication(s) filed on <u>01 M</u> 2a) ☐ This action is <b>FINAL</b> . 2b) ☐ This  3) ☐ Since this application is in condition for alloward closed in accordance with the practice under E	s action is non-final. nce except for formal mat	•
Disposition of Claims		
<ul> <li>4)  Claim(s) 1-9,11-15 and 17-27 is/are pending in 4a) Of the above claim(s) is/are withdraw</li> <li>5)  Claim(s) 11-15 and 23-25 is/are allowed.</li> <li>6)  Claim(s) 1-9,17-22,26 and 27 is/are rejected.</li> <li>7)  Claim(s) is/are objected to.</li> <li>8)  Claim(s) are subject to restriction and/o</li> </ul>	wn from consideration.	
Application Papers		
9) The specification is objected to by the Examine 10) The drawing(s) filed on is/are: a) acc Applicant may not request that any objection to the Replacement drawing sheet(s) including the correct 11) The oath or declaration is objected to by the Ex	epted or b) objected to drawing(s) be held in abeyation is required if the drawing	nce. See 37 CFR 1.85(a). g(s) is objected to. See 37 CFR 1.121(d).
Priority under 35 U.S.C. § 119		
12) Acknowledgment is made of a claim for foreign  a) All b) Some * c) None of:  1. Certified copies of the priority document  2. Certified copies of the priority document  3. Copies of the certified copies of the priority document  application from the International Bureau  * See the attached detailed Office action for a list	s have been received. s have been received in a rity documents have been u (PCT Rule 17.2(a)).	Application No  n received in this National Stage
•	•	
Attachment(s)		
<ol> <li>Notice of References Cited (PTO-892)</li> <li>Notice of Draftsperson's Patent Drawing Review (PTO-948)</li> <li>Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)         Paper No(s)/Mail Date     </li> </ol>	Paper No	Summary (PTO-413) (s)/Mail Date Informal Patent Application (PTO-152)

Application/Control Number: 09/890,581

Art Unit: 3731

### **DETAILED ACTION**

## Claim Rejections - 35 USC § 102

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 1-9, 17-22 and 26-27 are rejected under 35 U.S.C. 102(b) as being anticipated by Hurley et al (5,355,871).

With respect to claim 1, Hurley et al disclose in Figs. 1-4, an actuating mechanism for actuating a surgical tool, including: an actuating device (104) has an actuator surface (105) for placing the actuating device in an actuated position from a rest position to actuate a surgical tool (10), and where the actuator surface comprises a collapsible cage (see fig. 2) having a plurality of interdigitating actuating pads (items 107 can be characterized as interdigitating actuating pads).

As to claims 2-3, Hurley et al disclose in Figs. 2-3 and 5, each pad has an extending groove (209a) and the actuating device further comprises a plurality of extending guides (208) that is capable of engaging an inwardly extending groove of each pad, and where the cage (see fig. 3) is adapted such an inward movement of the cage causes the actuating device is in its actuated position.

As to claims 4-5, Hurley et al disclose in Figs. 2-3, the actuating mechanism further comprises a locking mechanism (105) that is adapted to lock the actuating device.

Application/Control Number: 09/890,581

Art Unit: 3731

As to claims 6-7, Hurley et al disclose in Fig. 2, the locking mechanism further comprises a release means (109), and where the actuating mechanism further comprises override means (112) for returning the actuating device from its actuated position to its rest position.

As to claims 8-9, Hurley et al disclose in Fig. 2, the actuating mechanism further comprises biasing means comprises a compression spring (113).

As to claims 17-19, Hurley et al disclose in Figs. 1-2, the surgical instrument comprises a handle (102), an elongate shaft (103) and a surgical tool (10) mounted on the shaft, and where the diameter of the cylindrical surface is approximately equal to the diameter of the handle.

As to claims 20-27, Hurley et al disclose in Fig. 3, the elongate shaft (103) comprises an actuator rod (12) slideably mounted within an outer tube (11), where the actuating device further comprises means (107) for translating the radial movement of the actuator surface into the longitudinal movement, and where the instrument further comprises a locking mechanism (105), where the handle is elongate to be held in a pen like grip (see fig. 3), and where the surgical tool comprises miniaturized scissors (see col. 2, lines 45-47)

### Allowable Subject Matter

#### 2. Claims 11-15 and 23-25 are allowed.

The following is a statement of reasons for allowance: None of the prior art of record, alone or in combination, discloses all of the limitations of claim 11 including, where a locking mechanism for locking a surgical tool includes an interengaging means comprising latching means and actuator means, where the latching means comprises a first stepped surface and the actuator means comprises a second stepped surface to lock the actuator means in any one of a plurality of actuated positions, and where the axial depth of each step of the second stepped

Application/Control Number: 09/890,581

Art Unit: 3731

surface of the actuator means is greater than the axial depth of each step of the first stepped surface of the latching means.

As allowable subject matter has been indicated, applicant's reply must either comply with all formal requirements or specifically traverse each requirement not complied with. See 37 CFR 1.111(b) and MPEP § 707.07(a).

### Response to Amendment

3. Applicant's arguments filed 3/1/2005 have been fully considered but they are not persuasive. In response to applicant's argument that the device of Hurley does not teach a collapsible cage having a plurality of interdigitating actuating pads. It is noted that figures 1 and 3 of Hurley can be clearly defined that a collapsible cage is located within the housing portion 104 where the cage has a plurality of interdigitating actuating pads (inherent feature 107). This interdigitating actuating pads would inherently grasp in the fingers and thumb T of the hand of a surgeon with the thumb T that is being in contact with the collapsible cage (fig. 1). Accordingly, the above noted reference is still considered to read on the claimed limitations of the claims noted.

#### Conclusion

4. THIS ACTION IS MADE FINAL. Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Art Unit: 3731

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Victor X Nguyen whose telephone number is (571) 272-4699. The examiner can normally be reached on M-F (8-4.30 P.M).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Anh Tuan Nguyen can be reached on (571) 272-4963. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Victor X Nguyen Examiner Art Unit 3731

Vn Vr 5/5/2005

JULIAN W. WOO
PRIMARY EXAMINER

Julian MMoo